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(72) Inventor: Shmulovich, Joseph
Murray Hill, NJ 07974 (US)

(74) Representative: Perkins, Sarah
Stevens, Hewlett & Perkins
Halton House
20/23 Holborn
London EC1N 2JD (GB)

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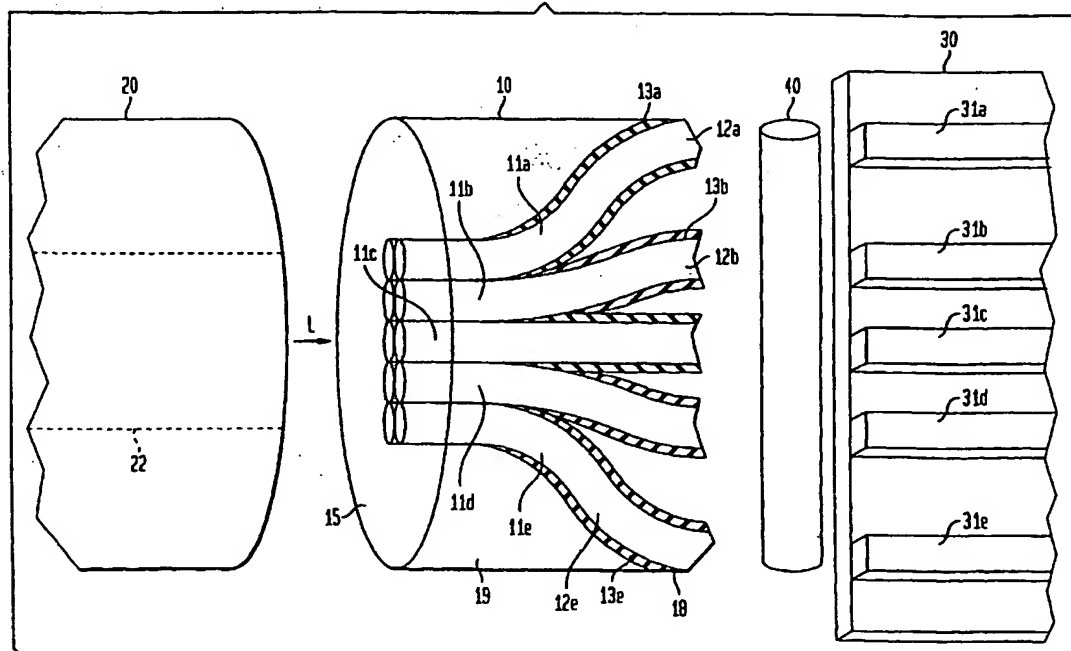
(71) Applicant: Agere Systems Optoelectronics
Guardian Corporation
Orlando, Florida 32819-8698 (US)

(54) Article comprising a multimode optical fiber coupler

(57) The present invention is an optical coupler (10) comprising a plurality of optical fibres (11) that have unclad (core-exposed) ends (15) and tapered cladding regions extending to cladded ends (18). The core-exposed ends (15) are arranged in a bundle, and the cladded ends (18) can be arranged as needed. The optical

coupler (10) can efficiently couple between waveguides of different core areas and shapes. For example, it may be used to connect a multimode collection fibre (20) having a core area of greater than 50 μm to a planar waveguide amplifier (30) having waveguide strips (31) with heights of 5 μm or less.

FIG. 1



Description

[0001] The present invention relates to waveguide couplers and more particularly, to waveguide couplers that are efficient for coupling of light between waveguides having different shapes or dimensions. The invention is particularly useful in communications systems and in free-space optical detectors.

[0002] Optical communication systems can transmit optical signals over long distances at high speeds. An optical signal is transmitted from a light source to a waveguide and ultimately to a detector. Optical waveguide structures such as optical fibers transmit the light signals. Basically, a waveguide structure comprises an inner region (the core) fabricated from a material having a certain index of refraction, and an outer region (the cladding) contiguous to the core comprised of a material having a lower index of refraction. A light beam propagated along the core will be guided along the length of the waveguide by total internal reflection.

[0003] Planar waveguides are flat structures that guide light in the same way as optical fibers. Essentially, a planar waveguide comprises a core waveguide strip of material on a substrate where the waveguide strip has a relatively high index of refraction relative to the substrate. Thus, light is guided along the high index strip.

[0004] Optical communications systems typically include a variety of devices (e.g., light sources, photodetectors, switches, optical fibers, amplifiers, and filters). Amplifiers and filters may be used to facilitate the propagation of light pulses along the length of the waveguide.

[0005] The connections between the various system components inherently produce loss in optical communication systems. Typically, optical fibers and devices are coupled together by splicing and bonding fiber ends or by using connectors. There is a loss in transmitted light that travels from one device to the other. With the increasing demand for efficient, large-scale manufacturing of hybrid integrated opto-electronic devices, there is a need to couple many waveguide devices together while minimizing losses. For example, planar waveguide amplifiers are desirable front ends for certain detectors, and for such applications it would be desirable to couple planar waveguides with a multimode signal collection fiber.

[0006] Many other factors contribute to losses in making waveguide connections. Such factors include overlap of fiber cores, misalignment of the fiber axes, fiber spacing, reflection at fiber ends, and numerical aperture ("NA") mismatch. If a fiber receiving light has a smaller NA than a fiber delivering the light, some light will enter the receiving fiber in modes that are not confined to the core and will leak out of the receiving fiber. The loss can be quantified by the formula: $\text{Loss (dB)} = 10 \log_{10} (\text{NA}_2 / \text{NA}_1)^2$.

[0007] Significant losses can occur if the signals are traveling from a large core into a smaller core. For ex-

ample, loss of 1.9 dB can occur when light travels from a 62.5 μm core fiber to a 50 μm core multimode fiber. In some applications it would be desirable to couple light from a fiber core (having a typical dimension of 50 μm to 62.5 μm) to a planar waveguide or a sputtered film. However, planar waveguide amplifiers typically cannot be made with cores that are more than about 5 μm thick, and conventional sputtered films have a thickness of about 2-3 μm . On the other hand, a multimode signal collection fiber used in free-space optical reception systems has a core that is typically more than 50 μm in diameter. This mismatch in vertical dimension makes it very difficult to efficiently couple light from a multimode signal collection fiber to a planar waveguide. Losses can amount to up to 17 dB or in some cases up to 97 or 98 percent of the light.

[0008] As may be appreciated, those involved the development of communication systems and electro-optical devices continue to seek new designs to improve efficiency and performance. In particular, it would be advantageous to have a mechanism for addressing the vertical dimension mismatch in the cores of the components being coupled, particularly as between an optical fiber and planar waveguide.

[0009] The present invention is an optical coupler comprising a plurality of optical fibers that have unclad (core-exposed) ends and tapered cladding regions extending to cladded ends. The core-exposed ends are arranged in a bundle, and the cladded ends can be arranged as needed. The optical coupler can efficiently couple between waveguides of different core areas and shapes. For example, it may be used to connect a multimode collection fiber having a core area of greater than 50 μm to a planar waveguide amplifier having waveguide strips with heights of 5 μm or less.

[0010] The advantages, nature and various additional features of the invention will appear more fully upon consideration of the illustrative embodiments now to be described in detail in connection with the accompanying drawing. In the drawings:

FIG. 1 is a schematic perspective (and partially cross-sectional) view of one embodiment of the inventive coupler disposed between an optical fiber and an array of planar waveguides;

FIG. 2 is a view of the coupler of FIG. 1 looking in the direction of arrow "L" in FIG. 1; and

FIG. 3 is a schematic illustration of the use of couplers according to Fig. 1 as front and back end components of a planar waveguide amplifier.

[0011] It is to be understood that these drawings are for the purposes of illustrating the concepts of the invention and are not to scale.

[0012] This invention is a waveguide structure that efficiently couples light between waveguides having mismatched cores. The term "waveguide" as used herein refers generally to light transmission structures such as

planar waveguides and optical fibers. The term "core" refers to the high refractive index light propagation region of the waveguides.

[0013] Referring to the drawing, Fig. 1 is a schematic perspective (and partially cross-sectional) view of one embodiment of the inventive coupler 10 shown in position for coupling light between an optical fiber 20 and an array of planar waveguides 30. The coupler 10 provides a plurality of fibers 11a, 11b, ..., 11e, each fiber having a high refractive index core 12a, 12b, ..., 12e and a lower refractive index cladding region 13a, 13b, ..., 13e. The fiber claddings are tapered from essentially zero thickness at the first ends 15 of the fibers to a final thickness at or before the second or "cladded" ends 18 of the fibers. Preferably, the taper is "adiabatic," meaning that it is sufficiently gradual over the length of the fiber that the modes are maintained and scattering losses are negligible.

[0014] The tapered cladding fibers 11a, 11b, ..., 11e can be made by processes well known to those skilled in the art. For example, the tapering of the cladding can be achieved with a subtractive process, such as by slowly pulling the clad fibers out from HF etchant (~1% HF). Other etchants may be used such as $K_4Fe(CN)_6$, $K_3Fe(CN)_6$, $Na_2S_2O_3$, KOH in H_2O . Alternatively, the tapered cladding can be formed by an additive process such as by sputtering, evaporation, chemical vapor deposition, electrodeposition, electroless deposition and dip-coating.

[0015] At the first ends 15 of the fibers (also referred to herein as the "core-exposed ends"), there is no appreciable surrounding cladding, and the core is exposed. The core-exposed ends 15 are bundled together and preferably adhered or fused together to form a single rod.

[0016] The fibers 11a, 11b, ..., 11e advantageously have an elongated latitudinal cross-section. As more clearly shown in FIG. 2 the fibers are preferably elongated to an oval cross section. Round fibers, elliptical fibers, hexagonally-shaped fibers, or other shaped fibers also may be used; however, the shape of the fibers may impact on the number of fibers that will be needed to make the connection.

[0017] The bundled ends 15 are arranged to optically couple with the core 22 of the transmitting fiber 20. Specifically, the surface area of the bundled, core ends 15 is larger than the core 22 of the transmitting input fiber to minimize losses. FIG. 2 shows a latitudinal cross-section of the bundled fibers looking in the direction of arrow "L" of FIG. 1. The core area of the input fiber is shown superimposed on this cross-section along dashed line "A" advantageously falling within the bundled core ends. This area "A" is referred to herein as the bundle input area. If each fiber in the bundle has a NA not smaller than that of the transmitting fiber, and the bundle input area covers the entire core area of the input fiber, then there should be minimal signal loss.

[0018] Referring back to FIG. 1, the plurality of fiber

ends 15 are fused together. The fusing may continue along a section of the graded cladding portion of the fibers that is sufficient to support the NA of the fiber. The fibers slowly spread out to an array of splayed individual fibers at or near the cladded ends 18. The cladded ends 18 can be arranged as needed to couple into a second waveguide or device. The resultant array of fibers may be collectively coupled to the face of one or more waveguides or, as shown in Fig. 1, they may be individually coupled to an array 30 of waveguide strips 31a, 31b, ..., 31e.

[0019] The coupling of the fibers to the waveguide strips may be facilitated with one or more lenses 40. A cylindrical lens 40 as shown in FIG. 1 is preferred, as it provides focusing in the direction normal to the substrate. A plastic jacket 19 may surround the bundled ends 15 and maintain the cladded ends 18 in a configuration needed to couple to a downstream component or waveguide.

[0020] Fig. 3 illustrates a preferred application of two Fig. 1 couplers 55, 75 in coupling light into and out of a planar waveguide optical amplifier 60. The amplifying arrangement comprises a pump input fiber 50 and signal input fiber 52 with the pump and signal light combined by free space combiner 54 and directed into the first fiber coupler 55, having a fiber bundle 51 at the input end. The plurality of fibers comprising the bundle 51 have claddings that are tapered outward, so that the fibers in the bundle are splayed into individual fibers 57a, 57b, ..., 57d, and coupled to an array of amplifying waveguides 61a, 61b, ..., 61d of planar waveguide amplifier 60. A second coupler 75 is connected at the output of the planar waveguide 60 with light from each of the waveguide films being directed into individual splayed fibers 77a, 77b, ..., 77d, that are tapered down into a fiber bundle 71. Light from bundle 71 could be directed into output fiber 72 toward receiver (not shown), and/or a splitter 74 may be disposed in the output path. The receiver bundle 71 can be optimized independent of the input constraints. According to another aspect of the invention, the receiver bundle 71 is continued as a "fiber bundle transmission line" to a remote location and/or to the detector (not shown). This approach may be advantageous in that smaller cores will produce less dispersion than larger cores, as they support fewer modes.

[0021] The number of fibers used in the bundles, the dimensions of the fiber cores and planar waveguides, the degree of taper, the composition of the components, and other design considerations may be adjusted depending on the application. In matching the coupler with the planar waveguides and/or multimode fiber, one consideration is that the cross-sectional area of the core, times the square of the numerical aperture, optimally should be the same on both sides of a juncture. In other words, if "A" denotes the cross-sectional core area for signal input or output and NA is the numerical aperture then $A \times (NA)^2$ should be substantially constant throughout the system (within 5% and preferably 1 % of the

mean).

[0022] The invention is advantageous in many ways. By enabling coupling of waveguides having vertical dimension mismatches, a planar waveguide may be used as an amplifier and efficiently coupled with a multimode fiber. Planar waveguide amplifiers may be more highly doped than optical fiber amplifiers. Additionally, in multimode applications, dispersion is an important factor as an increase in modes results in greater dispersion. With this invention, there is no modal noise penalty in the amplifier, beyond the small modal dispersion in the individual waveguides. Additionally, with the invention an increase in the input image size does not increase the modal noise penalty. By providing low-dispersion optical amplification, the invention increases the flexibility of the system with regard to use of photodetectors. Low-dispersion optical amplification permits use of avalanche diodes as photodetectors.

[0023] According to another aspect of the invention, the amplification of individual waveguides 61a, 61b, ..., 61d (FIG. 3) may be manipulated to compensate for or create patterns in the image. Also, the planar waveguides may be structured to reduce dispersion. It is beneficial to utilize a narrower core in the planar waveguides to reduce the number of modes and hence, cause less dispersion. The splayed ribbon of fibers (FIG. 1, 18), may be arranged to match up collectively to the face of a single waveguide, or they may be arranged to match the fibers to individual waveguides as shown in the figures herein. The waveguide amplifier structure 60 may be pumped in a cladding-pumping mode from the side by one or more extended cavity laser pumps schematically illustrated at boxed region 80 (FIG. 3). This edge-pumping scheme can increase pumping efficiency. The cladding of the planar waveguide can be shaped and dimensioned to confine the edge-pumped radiation, e.g., it can be formed as a ring-shaped or serpentine cladding arrangement.

[0024] In supporting the total number of modes in the multimode fiber, a waveguide amplifier advantageously is provided having cross-sectional dimensions of tens of microns. Such a structure can be fabricated by applying dry etching or deposition techniques known in the field. Alternatively, the waveguide structure can be fabricated from bulk glass applying the process described in applicant's co-pending United States patent application entitled "Method For Making A Planar Waveguide."

[0025] The invention can be better understood from the following Examples.

EXAMPLE 1

[0026] A multimode input fiber having a core of ~ 50 μm is coupled to an array of waveguides each having a height (film thickness) of 3 μm at the input end. The array of waveguides comprise aluminosilicate having a NA of 0.67. Optical fibers used for the coupling device have a NA of 0.23, and thus, the desired ratio of fiber

diameter to waveguide height is $0.67/0.23 \sim 3$. The diameter of the optical fibers is thus 9 μm (waveguide height of 3 μm x 3), and nine fibers are needed to cover the 50 μm input core area of the multimode input fiber. (A greater number of fibers, i.e., 30, could be needed if the fibers are round.) Advantageously, at the input end the planar waveguides have a width of 30 μm and taper down to a dimension of 10 μm x 3 μm to take advantage of the lateral dimension of the higher NA of the planar waveguide, as compared to the fiber.

EXAMPLE 2

[0027] A solid taper at the output end of the multimode collection fiber dimension reduces the NA requirements of the optical fibers in the coupler as compared with the configuration in Example 1. The output end of the multimode collection fiber is tapered from 50 μm to 100 μm which drops the NA requirement of the fibers in the bundle from 0.23 to $0.23(2)^{1/2} = 0.16$. Thirty-two fibers of the same elongated cross-section of Example are needed, but signals may be transmitted to a waveguide planar waveguide having a smaller height (film thickness) of 2.1 μm ($9 \times 0.16/0.67 = 2.1$). The lateral dimension of the planar waveguide is tapered down to 6.5 μm, resulting in planar waveguide dimensions of 2.1 x 6.5 μm, to support less modes as compared with Example 1.

[0028] It is understood that the embodiments described herein are merely exemplary and that a person skilled in the art may make variations and modifications without departing from the spirit and scope of the invention. For example, although the invention is described above with reference to a multimode collection fiber coupled to an array of planar waveguides, the same concepts can be applied with other configurations. All such variations and modifications are intended to be included within the scope of the appended claims.

Claims

1. An article including an optical coupler comprising:

a plurality of optical fibres, each fibre having a core with a first index of refraction and a cladding having a second index of refraction lower than the first index of refraction, the cladding of each fibre being tapered from a first substantially unclad end to a second clad end; the plurality of fibres bundled together at the unclad ends and splayed apart prior to the clad ends, whereby the optical coupler is adapted for optically coupling to a first waveguide or device having a first core area at the unclad ends and for coupling to a second waveguide or device having a second core area substantially different in size or shape from the first core area at the clad ends.

2. The article of claim 1 wherein the optical coupler is adapted for optically coupling to a first waveguide or device having a core dimension greater than 50 μm and to a second waveguide or device having a core dimension less than 5 μm . 5
3. The article of claim 1 wherein the optical coupler is adapted for optically coupling to a first waveguide or device comprising a multimode fibre and to a second waveguide or device comprising a planar waveguide. 10
4. The article of claim 1, wherein the optical coupler is adapted for optically coupling to a waveguide or device comprising a planar waveguide amplifier having an array of waveguide strips and the plurality of clad fibre ends of the coupler device are aligned with respective waveguide strips of the planar waveguide. 15
20
5. The article of claim 1, wherein the plurality of optical fibres have an oval-shaped cross-section. 25
6. The article of claim 1, wherein the cross-sectional area of the core of the plurality of fibres multiplied by the square of the numerical aperture of the plurality of fibres is substantially constant. 30
7. An optical communications system comprising a transmitter, an optical transmission path, and a detector, wherein the optical transmission path comprises a multimode collection fibre coupled to a planar waveguide amplifier by an optical coupler device, the optical coupler device comprising: 35
 a plurality of optical fibres, each having a core with a first index of refraction and a cladding having a second index of refraction lower than the first index of refraction, the cladding of each of the plurality of fibres being tapered from a core-exposed end where there is no cladding to a second, clad end, 40
 the plurality of optical fibres being bundled together at the core-exposed ends to define a bundle and splayed into an array of fibres at the clad ends, wherein the optical coupler device is adapted for coupling the multimode collection fibre having a first core area to the planar waveguide having a waveguide strip with a second core area smaller than the first core area, such that the bundle may be aligned with the first core area of the multimode collection fibre and at least one of the array of fibres may be aligned with the second core area of the planar waveguide amplifier strip. 45
50
55
8. The optical communications system of claim 7, further comprising a lens for coupling the array of fibres to the waveguide amplifier.
9. The optical communications system of claim 7, wherein the planar waveguide has a height of at least 10 μm and a width of at least 30 μm .
10. The optical communications system of claim 7, wherein for each of the plurality of fibers, the first waveguide and the second waveguide, the cross-sectional area of the core multiplied by the square of the numerical aperture is substantially constant.

FIG. 1

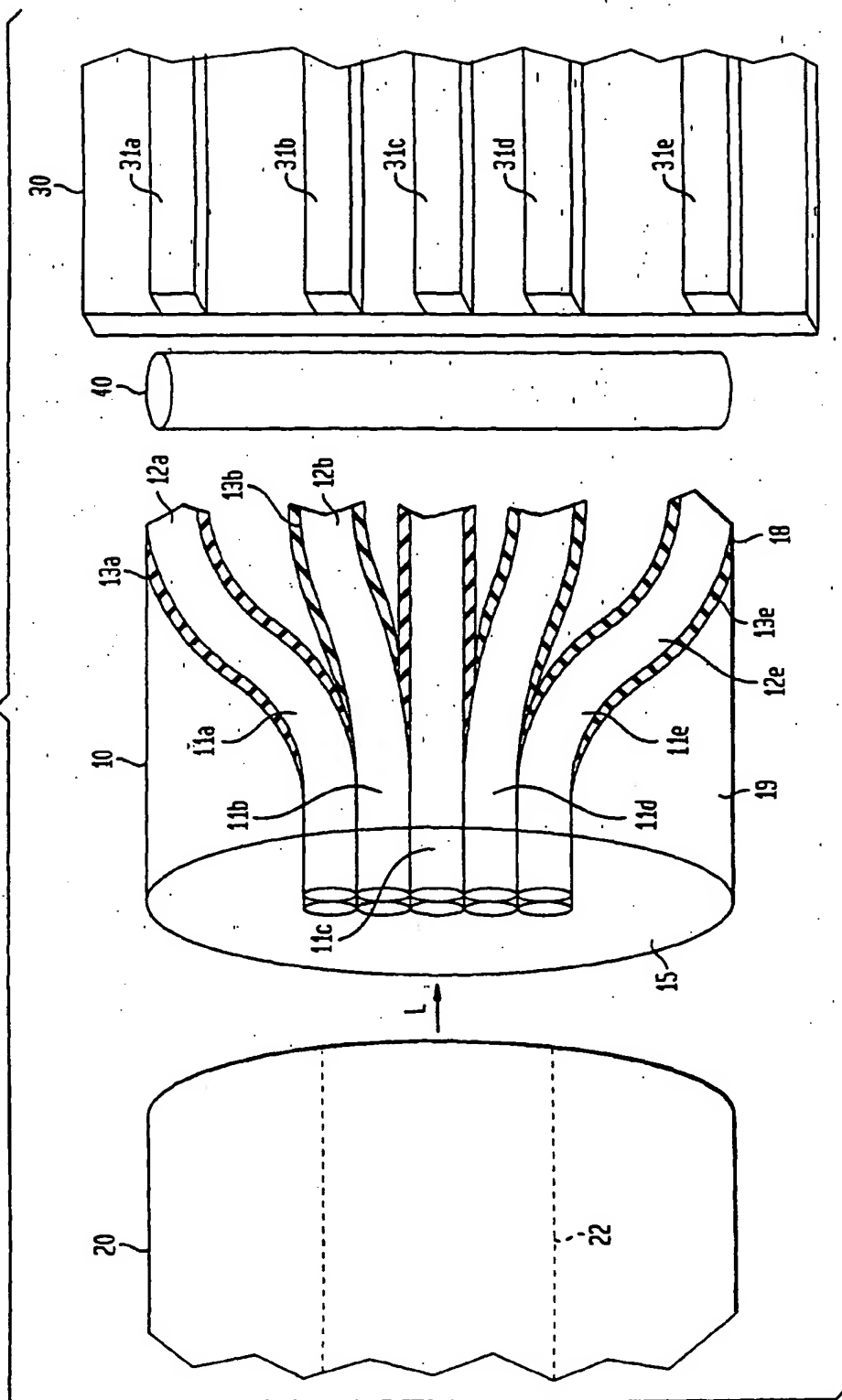


FIG. 2

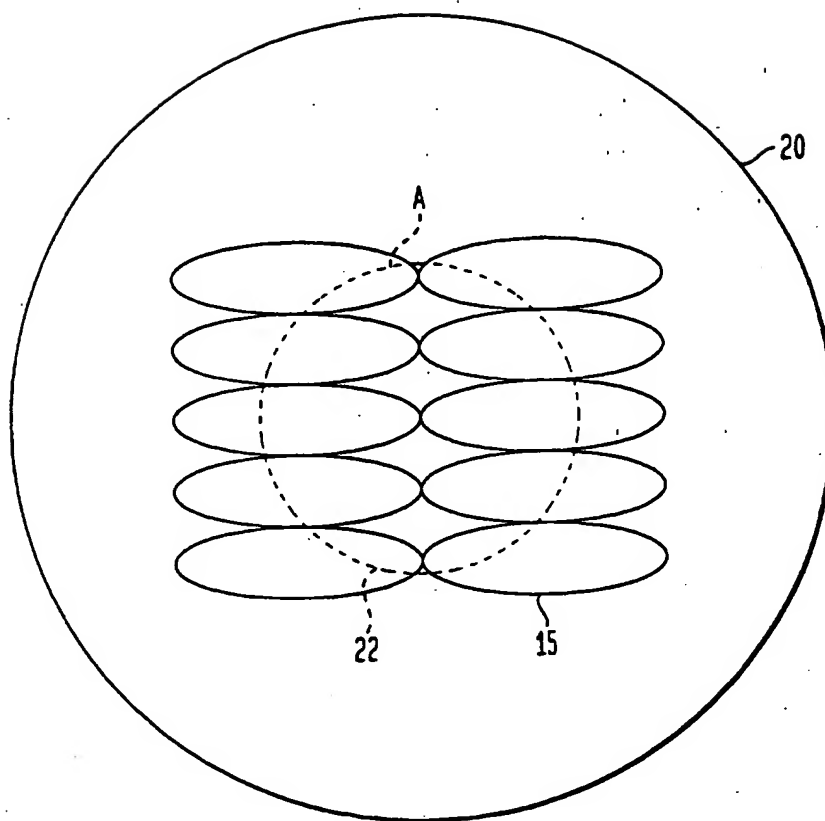
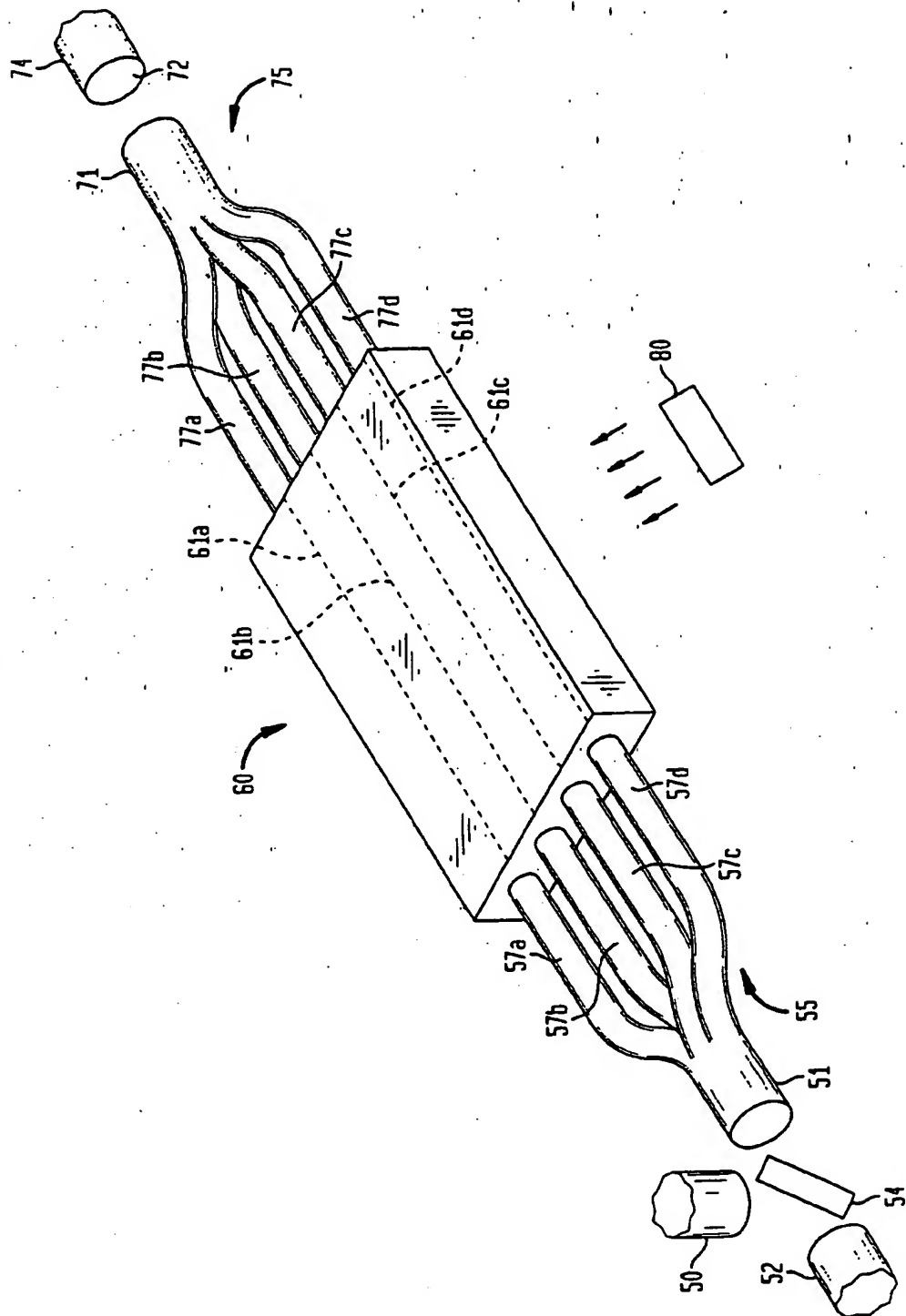


FIG. 3





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(72) Inventor: **Shmulovich, Joseph**
Murray Hill, NJ 07974 (US)

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(74) Representative: **Perkins, Sarah**
Stevens, Hewlett & Perkins
Halton House
20/23 Holborn
London EC1N 2JD (GB)

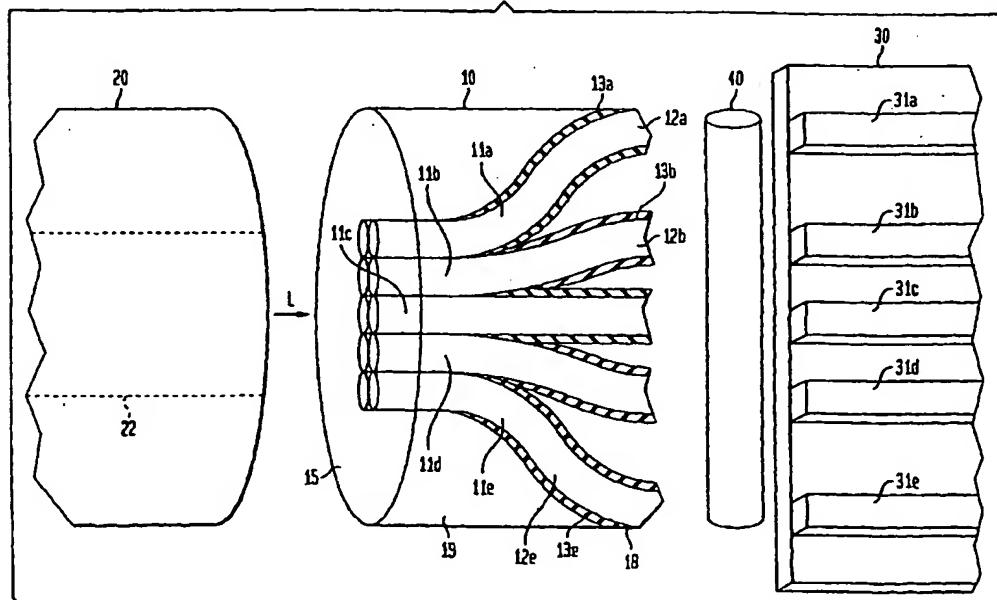
(71) Applicant: **Agere Systems Optoelectronics**
Guardian Corporation
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(54) **Article comprising a multimode optical fiber coupler**

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FIG. 1





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EUROPEAN SEARCH REPORT

Application Number

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Place of search		Date of completion of the search	Examiner
The Hague		30 January 2004	Mathyssek, K
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This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on
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